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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/477,093	01/04/2000	DANIEL W. GREEN	P04237	8705

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JOHN L MAXIN  
NATIONAL SEMICONDUCTOR  
801 EAST CAMPBELL ROAD  
SUITE 525  
RICHARDSON, TX 75081

EXAMINER

HARKNESS, CHARLES A

ART UNIT

PAPER NUMBER

2183

DATE MAILED: 08/27/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/477,093	GREEN, DANIEL W.
	Examiner	Art Unit
	Charles A Harkness	2183

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM  
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) Responsive to communication(s) filed on 04 January 2000.  
 2a) This action is FINAL.                            2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) Claim(s) 1-21 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-21 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 4 January 2000 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
 If approved, corrected drawings are required in reply to this Office action.  
 12) The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
 \* See the attached detailed Office action for a list of the certified copies not received.  
 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
 a) The translation of the foreign language provisional application has been received.  
 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

1) Notice of References Cited (PTO-892)                            4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                    5) Notice of Informal Patent Application (PTO-152)  
 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.                    6) Other:

## **DETAILED ACTION**

### ***Papers Submitted***

1. It is hereby acknowledged that the following papers have been received and placed of record in the file: Associate Power of Attorney as received on 01/25/01; and Response to Missing Parts as received on 02/28/00.

### ***Specification***

2. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
3. Applicant is reminded of the proper language and format for an abstract of the disclosure. The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.
4. The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc. For example on the 6<sup>th</sup> and 10<sup>th</sup> lines on the abstract, the use of the word 'comprises'.

### ***Drawings***

5. This application has been filed with informal drawings which are acceptable for examination purposes only. Formal drawings are required in reply to the Office action to avoid abandonment of the application.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 1,9 and 17 are rejected under 35 U.S.C. 102(b) as being unpatentable over Witt U.S. Patent No. 6,141,747 (herein referred to as Witt). Referring to claims 1,9 and 17, Witt has taught a data processor comprising:

At least one pipelined integer execution unit (Witt column 10 lines 66-67, column 11 lines 1-5 and column 10 lines 15-17);

A data cache (Witt column 3 line 62 and figure 1 reference number 44);

An instruction cache (Witt column 3 lines 55-56 and figure 1 reference number 14);

And a floating point unit comprising:

plurality of processing units capable of executing instructions that write operands to an external memory and capable of executing instructions that read operands from said external memory (Witt column 10 lines 66-67, column 11 lines 1-5, and figure 1 reference numbers 40A and 40B). Where it is understood that Execution Core 0 (40A) and Execution Core 1 (40B) from figure 1, contain a plurality of floating point units.

8. An operand queue capable of storing a plurality of operands associated with one or more operations being processed in said floating point unit, wherein said operand queue stores a first operand being written to an external memory by a write instruction executed by a first one of said plurality of processing units (Witt column 12 lines 19-27 and figure 2 reference number 64) and wherein said operand queue supplies said first operand to a read instruction executed by a second one of said plurality of processing units subsequent to said execution of said write instruction (Witt column 12 lines 38-56 and column 2 lines 2-7).

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 2-5, 10-13 and 18-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Witt in view of Hinton et. al., U.S. Patent No. 5,721,855 (herein referred to as Hinton). Each limitation of claims 1, 9, and 17, from which these claims depend, has been taught in the rejection of claims 1, 9, and 17 above.

11. Referring to claims 2-3, 10-11 and 18-19, Witt has not taught wherein said floating point unit further comprises a store conversion unit capable of converting operands in said plurality of floating point processing units from an internal format associated with said plurality of floating point processing units to an external format associated with said external memory. Nor has Witt taught wherein said operand queue receives said first operand from said store conversion unit

and transfers said first operand to an external memory. Hinton has taught the use of a store conversion unit capable of converting operands in a floating point processing unit from an internal format associated with said floating point processing unit to an external format associated with said external memory (Hinton column 35, lines 29-39 and figure 25, reference number 2515). Hinton also taught wherein said operand queue receives said first operand from said store conversion unit (Hinton column 35 lines 29-32, and figure 25 reference numbers 2515 and 2535). By using a store conversion unit, the operands can be stored in a standard format while the floating point unit executes the operands in a different format which is optimal for executing. In turn reducing the execution time of the operands, and thus reducing the amount of time required to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to add a store conversion unit that transfers the operands to said operand queue, so that the operands can be stored in memory in a different format than which they are executed in the floating point unit.

12. Referring to claims 4-5,12-13, and 20-21 Witt has not taught wherein said floating point unit further comprises a load conversion unit capable of converting incoming operands received from said external memory from an external format associated with said external memory to an internal format associated with said plurality of floating point processing units. Nor has Witt taught wherein operand queue receives said incoming operands from said external memory and transfers said incoming operands to said load conversion unit. Hinton has taught the use of a load conversion unit capable of converting incoming operands received from an external memory from an external format associated with an external memory to an internal format associated with a floating point processing unit (Hinton column 35 lines 23-28 and figure 25 reference number

2525). Hinton also taught wherein operand queue transfers said incoming operands to said load conversion unit (Hinton figure 25 reference numbers 2535 and 2525). By using a load conversion unit, the floating point unit can access a operand that is in a standard format and then process the operand in its execution unit in a format that optimal for computations, which in turn can speed up the execution time of the operands thus reducing the amount of time required to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to add a load conversion unit that receives incoming operands from the operand queue, so that the floating point unit could execute the operands in a different format than which the operands are stored in memory.

13. Claims 6-8, and 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Witt and Hinton in view of Senter et. al., U.S. Patent No. 5,987,593 (herein referred to as Senter). Each limitation of claims 5 and 13, from which these claims depend, has been taught in the rejection of claims 5 and 13 above.

14. Referring to claims 6-7 and 14-15, the combination of Witt and Hinton has not taught wherein data in said external memory is accessed in groups of N bytes and wherein said floating point unit further comprises at least one aligner capable of receiving a first incoming operand that is misaligned with respect to a boundary between a first N byte group and a second N byte group and aligning said first incoming operand. Nor has the combination of Witt and Hinton taught wherein said operand queue receives said aligned first incoming operand from said at least one aligner. Senter has taught wherein data in said external memory is accessed in groups of N bytes and wherein said floating point unit further comprises at least one aligner capable of receiving a first incoming operand that is misaligned with respect to a boundary between a first

N byte group and a second N byte group and aligning said first incoming operand (Senter column 15 lines 17-64). By aligning the data as it comes into the operand queue, the operand is in proper format to be executed by the floating point execution unit. This allows for operands to be accessed where the data crosses a page boundary without requiring two caches accesses for one load (Senter column 15 lines 31-32). Since one cache access is eliminated the time is reduced for a load instruction, thus reducing the amount of time to execute a program. Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to use an aligner to align unaligned operands and transferring the results to the operand queue.

15. Referring to claims 8 and 16, the combination of Witt and Hinton has not taught wherein said at least one aligner sets at least one bit in said operand queue to indicate that said aligned first incoming operand is valid. Senter has taught setting at least one bit to indicate that an address is valid (Senter column 9 lines 56-58). By having the aligner set at least one bit in the operand queue in which an operand is stored, the execution unit can check to see if the operand is ready to be executed by checking said at least one bit. This will keep the execution unit from using an invalid operand, which will keep the execution unit from repeating an instruction and thus reducing the amount of time spent on an instruction, which reduces the time spent executing a program. It would have been obvious to one of ordinary skill in the art at the time of the invention that at least one bit could have been used to show the validity of the operand in the same manner as Senter used at least one bit to show the validity of the address. Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to have an aligner set at least one bit to indicate the validity of an operand in an operand queue to keep the execution unit from using an invalid operand.

***Conclusion***

16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure as follows. Applicant is reminded that in amending in response to a rejection of claims, the patentable novelty must be clearly shown in view of the state of the art disclosed by the references cited and the objections made. Applicant must also show how the amendments avoid such references and objections. See 37 CFR 1.111(c).

17. Feiste et al., U.S. Patent Number 6,021,485, has taught forwarding store instructions result to load instruction so that the load may continue executing without having to be stalled or flushed.

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Charles A Harkness whose telephone number is 703-305-7579. The examiner can normally be reached on 8:00 A.M. – 5:30 P.M. with every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on 703-305-9712. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7238 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-7579.

Application/Control Number: 09/477,093  
Art Unit: 2183

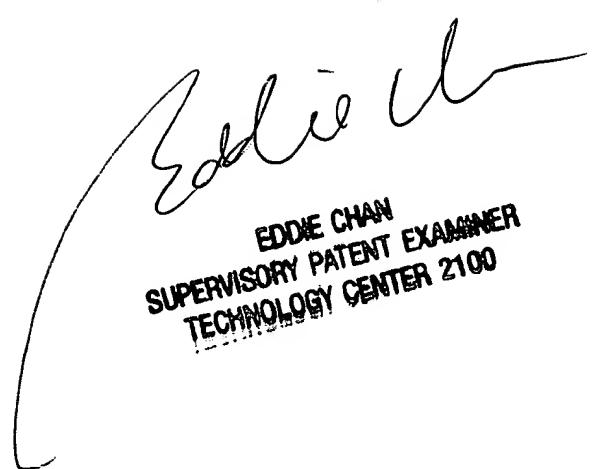
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Charles Allen Harkness

Examiner

Art Unit 2183

August 20, 2002



Eddie Chan  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100